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## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER 2G02.1-150 1

U.S. APPLICATION AID. (If known, see 37 CFR 1.5)

unassigned INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/US2004/024408 28 July 2003 28 July 2004 TITLE OF INVENTION "ENDCAP FOR A SAMPLING DEVICE" APPLICANT(S) FOR DO/EO/US GRIFFIN, Don; GRIFFIS, Jack Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items 3. (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2))  $\boxtimes$ is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))  $\boxtimes$ are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).  $\boxtimes$ 9.. 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12.  $\boxtimes$ 13. A preliminary amendment. X14. An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification. A power of attorney and/or change of address letter. 16. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. 17. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 18. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). **19**.

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR-1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## IAP9 Rec'd PCT/PTO 26 JAN 2006

PTO-1390 (Rev. 07-2005)

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U.S. APPLICATION NO. (if known, see 37 CER 1-5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER PCT/US2004/024408 2G02.1-150 1 Other items or information: Copy of International Search Report and Written Opinion **Return Postcard** Certificate of Express Mailing (EV862252540US) dated 26 January 2005 The following fees are submitted: **CALCULATIONS** PTO USE ONLY Basic national fee (37 CFR 1.492(a))......\$300 21. \$ 300 22. Examination Fee (37 CFR 1.492(c))) If the written opinion prepared by ISA/US to the international preliminary examination report prepared \$ 0 All other situations ..... 23. Search fee (37 CFR 1.492(b)) If the written opinion prepared by ISA/US to the international preliminary examination report prepared By IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)......\$0 Search fee (37 CFR 1.445(a)(2) has been paid on the international application to the USPTO as an \$ 0 International Searching Authority ......\$100 International Search Report prepared by an ISA other than the US and provided in the Office or previously communicated to the US by the IB......\$400 All other situations ......\$500 \$ 300 TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821 (c) or (e) computer program listing filed in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. **Total Sheets** Extra sheets Number of each additional 50 or fraction RATE thereof (round up to a whole number) \$0 0/50 =-100 =x \$250 Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date \$0 of commencement of the national stage (37 CFR 1.492(h)). **CLAIMS NUMBER FILED NUMBER EXTRA** \$ RATE x \$50 1 \$ 50 Total claims 21 - 20 = x \$200 4 Independent claims \$ 200 - 3 = MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360 \$0 **TOTAL OF ABOVE CALCULATIONS =** \$ 550 Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. \$ SUBTOTAL = \$ 550 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest \$ claimed priority date (37 CFR 1.492(f)). **TOTAL NATIONAL FEE =** \$ 550 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied \$ by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property \$ 550 **TOTAL FEES ENCLOSED =** Amount to be \$ refunded: Amount to be \$ charged:

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Transmittal Letter to DO/EO/US (3 pgs);

**Application Data Sheet (4 pgs);** 

**Preliminary Amendment (5 pgs)**;

Information Disclosure Statement Transmittal (2 pgs);

Form PTO/SB/08A (1 pg);

Declaration (2 pgs);

Copy of Published International Application (14 pgs);

Copy of International Search Report (3 pgs);

Copy of Written Opinion (3 pgs);

Credit Card Payment Form for \$550 (1 pg);

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